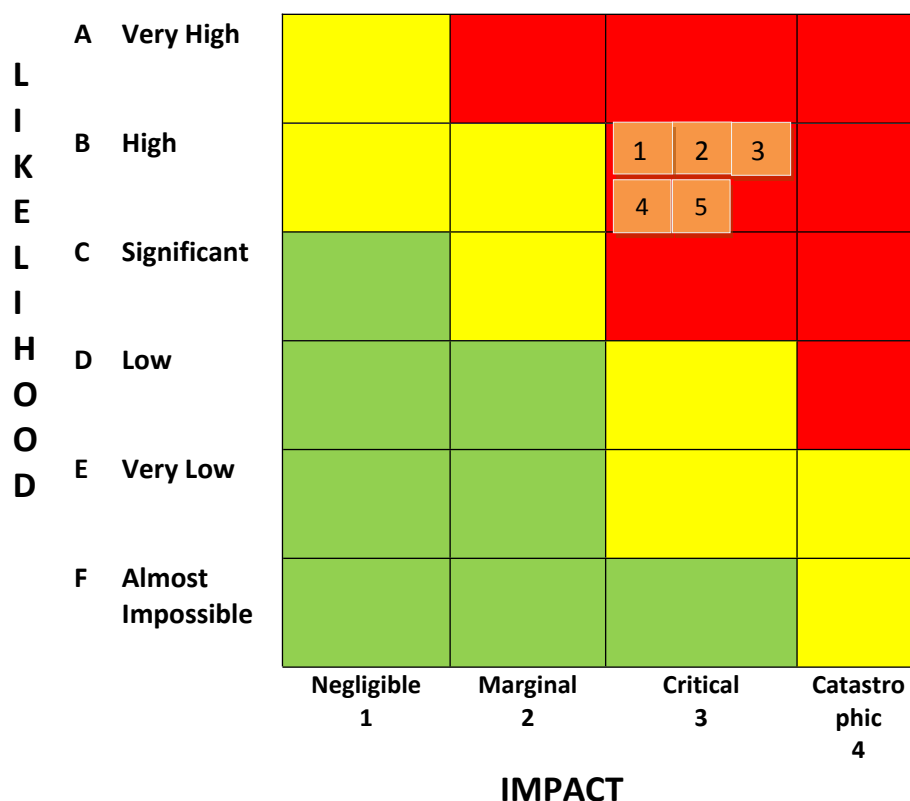


Appendix A : RISK SUMMARY



Red Risks Shows

Risk No	Risk Description	Likelihood	Impact	Grade	Move-ment	Owner
1	Local plan: delays and excessive costs and reputational consequences of local plan not being adopted in the future	High	Critical		n/a (new)	JW
2	Planning Appeal costs. Costs at appeal arising from unreasonable behaviour at planning appeals	high	Critical		↑	JW
3	Risk: Uncertainty and risks over the future government funding following the spending Review 2013 and the resultant impact on the Council's finances	High	Critical		↑	CMT (DG/KA)
4	Increased Number of vulnerable families and individuals resulting from the impact of Welfare Reforms	High	Critical		↑	HR/KA
5	Challenge of cultural issues which could undermine our ability to transform the Council	High	Critical		New	KA

Movement ↑ ↓

September 2013

APPENDIX B : CORPORATE RISK REGISTER RISK NO	DESCRIPTION	RANKING	CONSEQUENCES	COUNTER MEASURES	TIMELINE	OWNER	ACTION PLAN CROSS REFERENCE	DATE UPDATED	CURRENT STATUS
1	Risk: Melton Local Plan – delays and excessive costs and reputational consequences of Local Plan not being adopted in the future.	High and Critical Target	<p>Reputational Risk, following withdrawal of LDF Core Strategy</p> <p>Physical consequences; reduced ability to control development; development resulting is schemes occurring in undesirable locations;</p> <p>Financial: risk of evidence base and LP production exceeding budget provision</p> <p>Organisational: combination of requirements to produce the LP together with speculative large scale and controversial applications exceeding the capacity of existing resources.</p>	<p>Strong work programme and regular review: dedicated Working Group and Project Board providing overview and control mechanisms</p> <p>Ensure that developments are as sustainable as possible and that contributions from developers (Affordable Housing and to infrastructure) are secured.</p> <p>Detailed work programme for the Local Plan is regularly reviewed.</p> <p>Development of staffing structures to allow greater resource and adaptability to focus on areas which are facing the greatest pressures.</p>	Quarterly	Regulatory Services		August 2013	Amber

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2	Risk: Costs at appeal arising from unreasonable behaviour at planning appeals	High and Critical Target D2 Original Risk rating B2 Reviewed Risk rating March 2010 B2 Reviewed Risk rating April 2012 C3 Reviewed Risk rating April 2013 C2	Ability to defend reasons for refusal New makeup and reduced experience of Planning Committee Potential for large costs awards – heightened because of the expectation of an increase in speculative and controversial applications. Potential to be so significant as to affect corporate finances	Close monitoring and review of Appeal Record Councillor training as and when required Ongoing Continuous Performance Development for officers to keep up to date with legislation changes Counsels advice where required before defending reasons for refusal	Quarterly	Regulatory Services		Aug 2013	Amber

APPENDIX B : CORPORATE RISK REGISTER RISK NO	DESCRIPTION	RANKING	CONSEQUENCES	COUNTER MEASURES	TIMELINE	OWNER	ACTION PLAN CROSS REFERENCE	DATE UPDATED	CURRENT STATUS
3	Risk: Uncertainty and risks over the future government funding following the spending Review 2013 and the resultant impact on the Council's finances	High and Critical B2 Target C3	<ul style="list-style-type: none"> • Inability to meet corporate objectives • Savings having to be made that impact on service delivery • Low staff morale as workforce is reduced • High cost services that fail to meet customer needs, demands and expectations • Poor customer satisfaction and poor council reputation 	<ul style="list-style-type: none"> • Transformation programme aimed at making the service more efficient and at the same time more in line with customer demands • Understand demand in order to meet this in a more efficient and effective way • Maximising partnership working to deliver better outcomes at reduced local cost • Develop disinvestments/efficiencies for 2014/15 and 2015/16 • Review MTFS once finance settlement is published and use of NHB • Review VFM of services to inform areas of priorities and savings • Review corporate objectives in the 	<p>£30k 2013/14, 100k 2014/15 and £100k 2015/16</p> <p>Ongoing</p> <p>Ongoing with review annually as part of MTFS and budget process</p> <p>Review commenced August 2013-08-27</p> <p>2013/14 budget setting process</p> <p>Ongoing</p> <p>Consultation with public to be determined PFA Oct 2013 then</p>	<p>KA/CC</p> <p>KA/MT</p> <p>MT</p> <p>LA/DG/MT</p> <p>DG</p> <p>LA/ST/MT</p> <p>HR/AT/DG</p>	<p>Transformation programme and project plans</p> <p>Service plans</p> <p>Budget action plans/MTFS</p> <p>Financial Reports Timetable</p> <p>Performance management System</p> <p>Service and financial</p>	August 2013	Amber

				<p>context of resources and customer requirements</p> <ul style="list-style-type: none"> • Staff training and support • Well informed public and members around priorities, cost of services and resources available 	<p>timeline established for completion</p> <p>Finance and service manager training Sept/Oct 2013</p> <p>Regular communications and engagement</p>	<p>DG</p> <p>AT/MT</p>	<p>planning timetable</p> <p>Corporate Training Plan</p> <p>Corporate communications' strategy and plan</p>		
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4	Risk: increased Number of vulnerable families and individuals resulting from the impact of Welfare Reforms	High and Critical B2 Target: D2	Greater demand on Public services Increased number of people in poverty Impact on Community safety Increased health related issues Poor economic growth (employment, town centre etc)	Maximise lessons learnt from Universal Credit pilot Pro active using customer insight to target advice/support Embed new structure of C&N focused around early prevention and early intervention. Promote assistive /support i.e. Discharging Housing Payment through multi channels to support vulnerable cases Market/promote through a variety of channels support and assistance available for families/individuals affected by Welfare Reform. Pro active focus on employment, skills and reliance on worklessness has been adopted including extensive support and training for individuals. Supporting Leicestershire Families (SLF) programme to work with targeted vulnerable families an a range of issues designed to turnaround lives and live sustainable independent living.	Quarterly	HR/KA	Transformational Programme Service plan relating to People & Place EDS action Plan Melton Children Centre Ofsted Improvement programme/Plan	August 2013	Amber

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5	Risk: Challenge of cultural issues which could undermine our ability to transform the Council	High and Critical B3 Target D2	<ul style="list-style-type: none"> • Inefficient use of resources • Sub optimal customer service and performance outcomes • Potential to undermine approach to transformation 	<p>Ongoing work with Northgate Public Services to address cultural and performance issues</p> <p>Changes to management structures in customer services.</p> <p>Cultural orientated support processes with staff to facilitate change processes.</p> <p>Engagement of staff in transformation programme with ongoing communication through initiatives such as Chief Executives Briefing.</p>	Next 12 months	CMT	Report commissioned from Northgate Public Services	Sept 2013	Amber