

APPENDIX A : CORPORATE RISK REGISTER RISK NO	DESCRIPTION	RANKING	CONSEQUENCES	COUNTER MEASURES	TIMELINE	OWNER	ACTION PLAN CROSS REFEREENCE	DATE UPDATED	CURRENT STATUS
1	<p>Risk: Melton Local Plan – delays and excessive costs and reputational consequences of Local Plan not being adopted in the future.</p> <p>Reduced ability to control development;</p> <p>Financial – cost of dealing with speculative applications and resultant appeals.</p>	<p>High and Critical B3</p> <p>Target D2</p>	<p>Reputational Risk, following withdrawal of LDF Core Strategy</p> <p>Physical consequences; development resulting is schemes occurring in undesirable locations;</p> <p>Financial: risk of evidence base and LP production exceeding budget provision</p> <p>Organisational: combination of requirements to produce the LP together with speculative large scale and controversial applications exceeding the capacity of existing resources.</p>	<p>Strong work programme and regular review: dedicated Working Group and Project Board providing overview and control mechanisms</p> <p>Detailed work programme for the Local Plan is regularly reviewed.</p> <p>Ensure that developments are as sustainable as possible and that contributions from developers (Affordable Housing and to infrastructure) are secured.</p> <p>Increased fee income accompanying larger applications facilitate relevant specialist services</p> <p>Development of staffing structures to allow greater resource and adaptability to focus on areas which are facing the greatest pressures. Careful monitoring of budget and staffing resources to adapt to pressure arising from applications and development of the LP evidence base.</p>	<p>Quarterly</p> <p>Ongoing</p> <p>Ongoing – applications approved to date have provided wide portfolio of contributions</p> <p>In hand and on going</p> <p>Complete (Jan and Feb 2014) – staff recruited to LP manager post and new RS Manager post. Resource monitoring adaption on going.</p>	Regulatory Services	Regulatory Services Service Plan	August 2014	GREEN

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2	Risk: Unable to deliver the cattle market project in accordance with agreed objectives, timescales and budget	High and Critical B3 Target	<p>Loss of opportunity to regenerate a significant asset and free up land for alternative uses</p> <p>Loss of potential for business support, employment and incubation.</p> <p>Reliance on current visitor offer when other market towns are improving their offer.</p> <p>Degradation in asset.</p> <p>Running down of Cattle Market and consequential impact on the Town Centre.</p> <p>Loss of income</p> <p>Potential to trigger clawback provisions on the abattoir grant funding received from EMDA.</p>	<p>Regular contact with funding bodies and review of funding opportunities.</p> <p>Preparing the scheme so that it is ready for initiation at short notice should funding become available.</p> <p>Supporting incumbent operators during this period.</p> <p>Support the town centre.</p> <p>Review Asset Condition and undertake minor repairs regularly.</p>	<p>Site investigations September 2014</p> <p>Preparation for planning submission.</p> <p>Negotiations for new agreement with Partners and extension of existing agreement to cover any interim period.</p> <p>Submission of funding bid Autumn 2014</p>	CAM/DG/DB Cattle Market Project Team	<p>Central Services Service Plan</p> <p>Cattle Market Project Plan and Risk Log</p>	August 2014	AMBER

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3	Risk: Uncertainty and risks over the future government funding and the resultant impact on the Council's finances	High and Critical B3 Target C3	Inability to meet corporate objectives Savings having to be made that impact on service delivery Low staff morale as workforce is reduced High cost services that fail to meet customer needs, demands and expectations	Transformation programme aimed at making the service more efficient and at the same time more in line with customer demands Understand demand in order to meet this in a more efficient and effective way Regular liaison and consultation with unions Staff training and support Maximising partnership working to deliver better outcomes at reduced local cost Develop disinvestments/efficiencies for 2015/16 and beyond	£75k 2015/16, £125k 2016/17 Ongoing Ongoing Ongoing Ongoing with review annually as part of MTFS and budget process. Regular MT discussions to assess changing position.	KA/CC KA/MT AT AT MT LA/DG/MT	Transformation programme and project plans Corporate Training Plan Service plans Budget action plans/MTFS	August 2014	AMBER
			Poor customer satisfaction and poor council reputation	Review MTFS once finance settlement is published and use of NHB Review VFM of services to inform areas of priorities and savings	Ongoing Two yearly	DG LA/ST/MT	Financial Reports Timetable Performance management System		

			<p>Review corporate objectives in the context of resources and customer requirements</p> <p>Other partners have to reduce their own spending plans which may include joint work programmes and grant funding with MBC</p> <p>Changes to business rates reliefs impacts on amount retained locally</p>	<p>Well informed public and members around priorities, cost of services and resources available</p> <p>Regularly review risk associated with partnership projects and funding</p> <p>Liaise and negotiate with LCC over proposals for partnership funding and grants received</p> <p>Regular liaison with partners in Leicestershire to understand and account for implications.</p> <p>Understand the ongoing financial implications of changes to business rates reliefs impacts on amount retained locally.</p> <p>Understand local information on businesses</p> <p>Understand the impact of any changes introduced through the Commission on Local Government Finance</p>	<p>Outcome of public consultation to feed into full review of priorities to commence April 2014 report to MT Sept 2014, PFA Jan 2015.</p> <p>Regular communications and engagement</p> <p>Ongoing</p> <p>Ongoing</p> <p>January 2015</p> <p>October 2014</p>	<p>HR/AT/DG</p> <p>LA/DG/MT</p> <p>DG/MB/DS</p> <p>DG/MB</p> <p>DG/DS</p>	<p>Service and financial planning timetable</p> <p>Press Releases Members' Bulletin Community Forum Partner Meetings</p> <p>MT engagement in specific services. Principles and Plan developed.</p>		
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4	Risk: increased Number of vulnerable families and individuals resulting from the impact of Welfare Reforms and long term sustainability of initiatives to tackle this	High and Critical B3 Target: D3	Greater demand on Public services Increased number of people in poverty Impact on Community safety Increased health related issues Poor economic growth (employment, town centre etc)	Development of Learning and Skills Centre, including triage process aimed at 500 vulnerable people Pro active using customer insight to target advice/support Embed new structure of C&N focused around early prevention and early intervention. Promote assistive /support i.e. Discharging Housing Payment through multi channels to support vulnerable cases Market/promote through a variety of channels support and assistance available for families/individuals affected by Welfare Reform. Pro active focus on employment, skills and reliance on worklessness has been adopted including extensive support and training for individuals. Supporting Leicestershire Families (SLF) programme to work with targeted	Quarterly	HR/KA	Transformational Programme Service plan relating to People & Place EDS action Plan Melton Children Centre Ofsted Improvement programme/Plan	August 2014.	AMBER

				<p>vulnerable families and a range of issues designed to turnaround lives and live sustainable independent living.</p> <p>The introduction of the Me and My learning project/centre has created opportunities to tackle issues around reliance on worklessness benefit income due to poor/little skills and training. This is also working with vulnerable individuals and families on a number of other related issues around Housing, health etc.</p> <p>Business partner appointed to address longer term sustainability issues for Me and My Learning</p>					
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5	<p>Risk: Challenge of cultural issues which could undermine our ability to transform the Council and deliver required savings. Savings will be dependent on achieving</p>	<p>High and Critical B3</p> <p>Target D2</p>	<p>Inefficient use of resources</p> <p>Sub optimal customer service and performance outcomes</p> <p>Potential to undermine approach to</p>	<p>Using SOCITM report “Better with less” published in December 2013 as a basis for getting leadership around digital at all levels within the organisation</p> <p>Changes to management structures in customer services.</p> <p>Cultural orientated support processes with staff to facilitate change processes.</p>	<p>Starting March 2014</p> <p>Being reviewed. Dec 2014 target date.</p>	<p>CMT</p> <p>AT</p>	<p>Structured questions for management team to address leading to detailed action plan – in progress of development</p> <p>Staff are driving service changes, including on-line offer, but with</p>	<p>August 2014.</p> <p>August 2014</p>	<p>AMBER</p>

	right quality work within timelines.		transformation Achievement of improved services and savings put at risk.	Engagement of staff in transformation programme with ongoing communication through initiatives such as Chief Executives Briefing. Additional Business Partner capacity brought in in key area of revenues and benefits.	Resource available to March 2015 at present		challenge built in		
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