

GOVERNANCE COMMITTEE**7th APRIL 2016****REPORT OF HEAD OF WELLAND INTERNAL AUDIT CONSORTIUM****INTERNAL AUDIT UPDATE****1.0 PURPOSE OF REPORT**

1.1 To update Members on progress made in delivering the 2015/16 Annual Audit Plan and key findings arising from audit assignments completed since the last Committee meeting.

2.0 RECOMMENDATIONS

2.1 **That Members note the report and progress made by the Internal Audit team in delivery of the Audit Plan.**

3.0 UPDATE ON DELIVERY OF THE INTERNAL AUDIT PLAN

3.1 The progress achieved to date in delivering the 2015/16 Audit Plan is set out in Appendix A. At the time of reporting, eleven assignments have been finalised; a draft report has been issued on one assignment; and fieldwork is complete on a further assignment. As such, the Audit Plan is on track to be delivered. It has not been possible to progress the fieldwork on the audit of the New Build Programme due to the stage of the project. It was agreed with senior management that an additional review would be conducted on the taxi licensing trading account to realise value from the commissioned days and provide management with assurance over this account ahead of the financial year end. This work has been delivered and reported to management.

3.2 The key findings of the audit assignments completed since the last Committee meeting are provided within Appendix A.

4.0 IMPLEMENTATION OF RECOMMENDATIONS

4.1 The Chief Executive requires the Council's Management Team to review progress made on implementing agreed management actions on a regular basis. Since the last Committee meeting, seven actions from audit reports have been completed by officers, this represents 54% of the actions due for completion during the period. At the date of reporting, there are six agreed management actions which are overdue for implementation. Reasons have been supplied for the overdue actions and revised dates for completion have been set. Further details are provided in Appendix A.

5.0 POLICY AND CORPORATE IMPLICATIONS

5.1 The report allows the Committee to develop independent assurance about the quality of the Council's internal control framework.

6.0 FINANCIAL AND OTHER RESOURCE IMPLICATIONS

6.1 There are no financial or other resource implications arising directly from this report.

7.0 LEGAL IMPLICATIONS/POWERS

7.1 There are no legal implications arising directly from this report

8.0 **COMMUNITY SAFETY**

8.1 There are no community safety implications arising directly from this report.

9.0 **EQUALITIES**

9.1 There are no equalities implications arising directly from this report.

10.0 **RISKS**

10.1 If the Consortium does not deliver the approved Audit Plan the assurance that it can provide about the Council's control framework would be compromised.

11.0 **CLIMATE CHANGE**

11.1 There are no climate change implications arising directly from this report.

12.0 **CONSULTATION**

12.1 N/A

13.0 **WARDS AFFECTED**

13.1 All wards are indirectly affected by the report.

Contact Officer Rachel Ashley-Caunt
Date: 07/04/2016

Appendices : A – Internal Audit Update Report

Background Papers: N/A

Reference : N/A