

**GOVERNANCE COMMITTEE****31<sup>st</sup> MARCH 2015****REPORT OF HEAD OF WELLAND INTERNAL AUDIT CONSORTIUM****INTERNAL AUDIT PLAN 2015/16****1.0 PURPOSE OF REPORT**

- 1.1 To provide Members with a copy of the draft Internal Audit Plan for 2015/16 for review and approval.

**2.0 RECOMMENDATIONS**

- 2.1 **That Members review and approve the Internal Audit Plan for 2015/16.**

**3.0 INTERNAL AUDIT PLAN 2015/16**

- 3.1 The Public Sector Internal Audit Standards require the annual Audit Plan to be reviewed and approved by the 'Audit committee'. The Audit Plan should be developed based upon key risks identified through consultation with Senior Management and members of the committee.

- 3.2 Appendix A to this report provides further detail on the development of the 2015/16 Audit Plan and a copy of the draft Internal Audit Plan.

**4.0 POLICY AND CORPORATE IMPLICATIONS**

- 4.1 The Internal Audit Plan should allow the Committee to develop independent assurance about the quality of the Council's internal control framework.

**5.0 FINANCIAL AND OTHER RESOURCE IMPLICATIONS**

- 5.1 There are no financial or other resource implications arising directly from this report. The Audit Plan is based upon the 235 days commissioned by the Council from the Consortium.

**6.0 LEGAL IMPLICATIONS/POWERS**

- 6.1 There are no legal implications arising directly from this report

**7.0 COMMUNITY SAFETY**

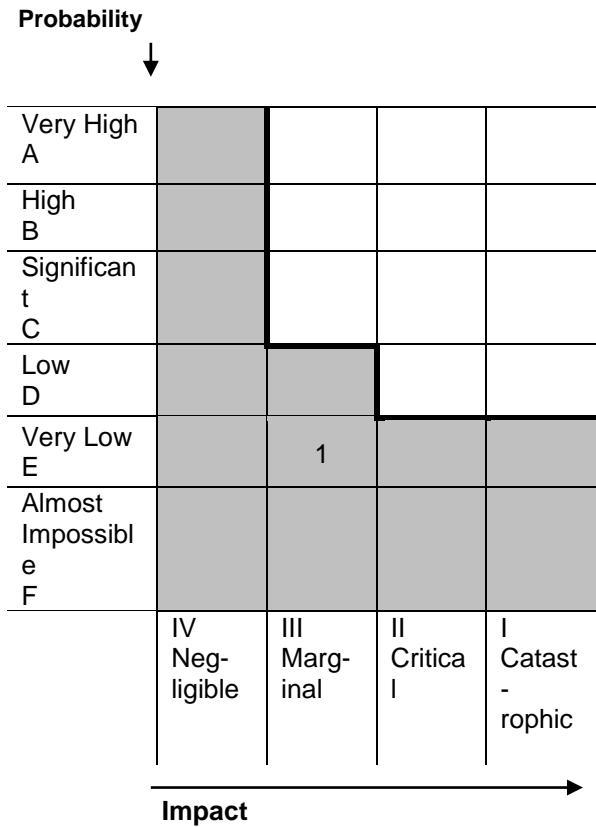
- 7.1 There are no community safety implications arising directly from this report.

**8.0 EQUALITIES**

- 8.1 There are no equalities implications arising directly from this report.

**9.0 RISKS**

9.1 The approved Audit Plan should provide assurance over the Council’s control framework and is based upon key risks identified by senior management and the Committee. If any areas upon which the Committee requires assurance are not incorporated within the Plan these will not be covered by Internal Audit assignments in 2015/16. However, the Plan can be reviewed during the year to ensure that it continues to address the Council’s key risks and amendments can be approved by the Committee.



Risk No.	Description
1	Failure to deliver assurance over the Council’s key risks.

**10.0 CLIMATE CHANGE**

10.1 There are no climate change implications arising directly from this report.

**11.0 CONSULTATION**

11.1 N/A

**12.0 WARDS AFFECTED**

12.1 All wards are indirectly affected by the report.

Contact Officer: Rachel Ashley-Caunt  
 Date: 11<sup>th</sup> March 2015  
 Appendices : 1  
 Background Papers: N/A  
 Reference : N/A