

GOVERNANCE COMMITTEE29th JUNE 2015**REPORT OF HEAD OF WELLAND INTERNAL AUDIT CONSORTIUM****INTERNAL AUDIT UPDATE****1.0 PURPOSE OF REPORT**

- 1.1 To update Members on progress made in delivering the 2015/16 Annual Audit Plan and key findings arising from audit assignments completed since the last Committee meeting.

2.0 RECOMMENDATIONS

- 2.1 **That Members note the report and progress made by the Internal Audit team in delivery of the Audit Plan.**

2.2

That Members discuss and agree a revised programme of Committee Training sessions.

3.0 UPDATE ON DELIVERY OF THE INTERNAL AUDIT PLAN

- 3.1 The progress achieved to date in delivering the 2015/16 Audit Plan is set out in Appendix A. At the time of reporting, one assignment has been finalised and another has been issued as a draft report. Fieldwork and planning is underway on a further six assignments.

- 3.2 All assignments from the Council's 2014/15 Internal Audit Plan have been completed and the key findings are summarised in the Annual Internal Audit Report.

4.0 IMPLEMENTATION OF RECOMMENDATIONS

- 4.1 The Chief Executive requires the Council's Management Team to review progress made on implementing agreed management actions on a regular basis. This contributes to a timely implementation of actions and since the last Committee meeting Internal Audit has confirmed that five actions have been implemented. At the date of reporting, there are four actions which are overdue for implementation. Reasons have been supplied for the overdue actions and revised dates for completion have been set. Further details are provided in Appendix A.

5.0 COMMITTEE TRAINING

- 5.1 In November 2014, a list of potential Committee Training sessions was presented to the Governance Committee for discussion. It was agreed that one session would be delivered in January 2015 and the training schedule would then be reviewed following the Council's AGM. The Committee is invited to discuss a forward plan for training and the preferred approach to delivery. Suggestions on topic areas are provided in Appendix A.

6.0 POLICY AND CORPORATE IMPLICATIONS

- 6.1 The report allows the Committee to develop independent assurance about the quality of the Council's internal control framework.

7.0 FINANCIAL AND OTHER RESOURCE IMPLICATIONS

- 7.1 There are no financial or other resource implications arising directly from this report.

8.0 **LEGAL IMPLICATIONS/POWERS**

8.1 There are no legal implications arising directly from this report

9.0 **COMMUNITY SAFETY**

9.1 There are no community safety implications arising directly from this report.

10.0 **EQUALITIES**

10.1 There are no equalities implications arising directly from this report.

11.0 **RISKS**

11.1 If the Consortium does not deliver the approved Audit Plan the assurance that it can provide about the Council's control framework would be compromised.

12.0 **CLIMATE CHANGE**

12.1 There are no climate change implications arising directly from this report.

13.0 **CONSULTATION**

13.1 N/A

14.0 **WARDS AFFECTED**

14.1 All wards are indirectly affected by the report.

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Date: 29/06/2015

Appendices : 1

Background Papers: N/A

Reference : N/A