

GOVERNANCE COMMITTEE**18th NOVEMBER 2014****REPORT OF HEAD OF WELLAND INTERNAL AUDIT CONSORTIUM****INTERNAL AUDIT UPDATE****1.0 PURPOSE OF REPORT**

1.1 To update Members on progress with the 2014/15 Annual Audit Plan and other matters relating to the delivery of an effective internal audit service.

2.0 RECOMMENDATIONS

2.1 **That Members note the report.**

3.0 UPDATE ON THE INTERNAL AUDIT PLAN

3.1 The progress achieved in delivering the 2014/15 Plan is set out in Appendix A. The delivery of planned assignments is consistent with the target of delivering 90% of planned assignments to draft report stage by 31st March 2015, as agreed with LGSS.

4.0 IMPLEMENTATION OF RECOMMENDATIONS

4.1 The Chief Executive requires the Council's Management Team to review progress on implementing recommendations on a regular basis. This contributes to a timely implementation of actions. At the date of reporting, there were ten outstanding recommendations of which five were overdue for implementation. However, reasons have been supplied for all overdue actions and revised dates for completion have been set. On this basis, all actions are due for completion by December 2014.

5.0 POLICY AND CORPORATE IMPLICATIONS

5.1 The report allows the Committee to develop independent assurance about the quality of the Council's internal control framework.

6.0 FINANCIAL AND OTHER RESOURCE IMPLICATIONS

6.1 There are no financial or other resource implications arising directly from this report.

7.0 LEGAL IMPLICATIONS/POWERS

7.1 There are no legal implications arising directly from this report

8.0 COMMUNITY SAFETY

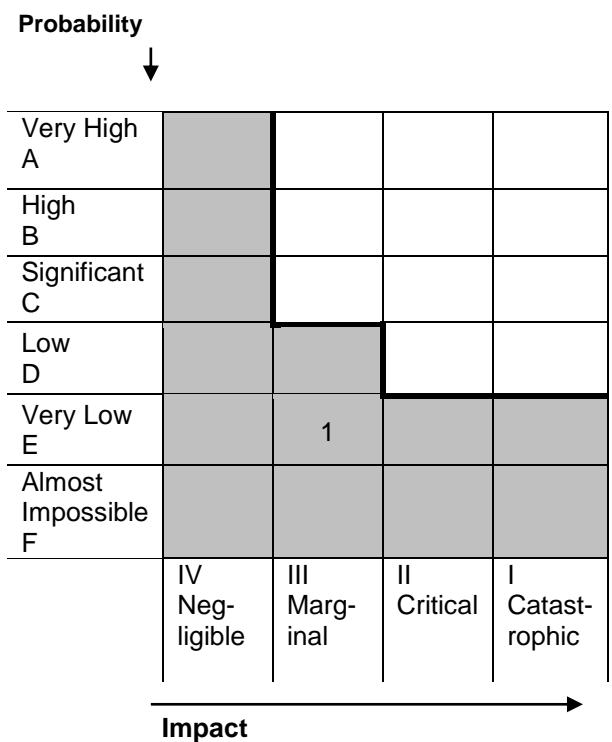
8.1 There are no community safety implications arising directly from this report.

9.0 EQUALITIES

9.1 There are no equalities implications arising directly from this report.

10.0 RISKS

10.1 If the Consortium does not deliver the approved Audit Plan the assurance that it can provide about the Council’s control framework would be compromised. Performance to date and the resources made available through the agreement with LGSS mitigate that risk.



| Risk No. | Description |
|----------|---|
| 1 | Failure to deliver the 2014/15 Audit Plan |
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11.0 CLIMATE CHANGE

11.1 There are no climate change implications arising directly from this report.

12.0 CONSULTATION

12.1 N/A

13.0 WARDS AFFECTED

13.1 All wards are indirectly affected by the report.

Contact Officer: Rachel Ashley-Caunt
 Date: 3/11/2014
 Appendices : 1
 Background Papers: N/A
 Reference : N/A