

GOVERNANCE COMMITTEE**1st FEBRUARY 2016****REPORT OF HEAD OF WELLAND INTERNAL AUDIT CONSORTIUM****INTERNAL AUDIT UPDATE****1.0 PURPOSE OF REPORT**

- 1.1 To update Members on progress made in delivering the 2015/16 Annual Audit Plan and key findings arising from audit assignments completed since the last Committee meeting.

2.0 RECOMMENDATIONS

- 2.1 **That Members note the report and progress made by the Internal Audit team in delivery of the Audit Plan.**

3.0 UPDATE ON DELIVERY OF THE INTERNAL AUDIT PLAN

- 3.1 The progress achieved to date in delivering the 2015/16 Audit Plan is set out in Appendix A. At the time of reporting, eight assignments have been finalised and fieldwork has been completed on a further assignment. Fieldwork or planning is underway on all remaining six assignments and the Audit Plan is on track to be delivered. One of the assignments is in relation to the New Build Programme and fieldwork is currently on hold awaiting progress with the programme, as such, it may not be possible to deliver this audit by the end of March 2016. Discussions will be held with management to confirm whether the audit can be delivered during the last quarter of 2015/16.

- 3.2 The key findings of the audit assignments completed since the last Committee meeting are provided within Appendix A.

4.0 IMPLEMENTATION OF RECOMMENDATIONS

- 4.1 The Chief Executive requires the Council's Management Team to review progress made on implementing agreed management actions on a regular basis. This contributes to a timely implementation of actions and since the last Committee meeting Internal Audit has confirmed that 15 actions have been implemented. At the date of reporting, there are three actions which are overdue for implementation. Reasons have been supplied for the overdue actions and revised dates for completion have been set. Further details are provided in Appendix A.

5.0 POLICY AND CORPORATE IMPLICATIONS

- 5.1 The report allows the Committee to develop independent assurance about the quality of the Council's internal control framework.

6.0 FINANCIAL AND OTHER RESOURCE IMPLICATIONS

- 6.1 There are no financial or other resource implications arising directly from this report.

7.0 LEGAL IMPLICATIONS/POWERS

- 7.1 There are no legal implications arising directly from this report

8.0 **COMMUNITY SAFETY**

8.1 There are no community safety implications arising directly from this report.

9.0 **EQUALITIES**

9.1 There are no equalities implications arising directly from this report.

10.0 **RISKS**

10.1 If the Consortium does not deliver the approved Audit Plan the assurance that it can provide about the Council's control framework would be compromised.

11.0 **CLIMATE CHANGE**

11.1 There are no climate change implications arising directly from this report.

12.0 **CONSULTATION**

12.1 N/A

13.0 **WARDS AFFECTED**

13.1 All wards are indirectly affected by the report.

Contact Officer Rachel Ashley-Caunt
Date: 01/02/2016

Appendices : A – Internal Audit Update Report

Background Papers: N/A

Reference : N/A