

GOVERNANCE COMMITTEE**18th NOVEMBER 2014****REPORT OF HEAD OF WELLAND INTERNAL AUDIT CONSORTIUM****INTERNAL AUDIT PLANNING PROCESS 2015/16****1.0 PURPOSE OF REPORT**

1.1 To provide Members with details of the intended process for the preparation of the 2015-16 Internal Audit Plan and seek Members' engagement in the Internal Audit planning process.

2.0 RECOMMENDATIONS

2.1 Members are invited to comment upon and approve the planning process for the Internal Audit Plan for 2015-16.

3.0 BACKGROUND

3.1 LGSS Internal Audit commenced management of the Welland Internal Audit Consortium in August 2014.

3.2 The Audit Plan is designed to support the provision of an annual Head of Internal Audit Opinion.

3.3 Appendix A outlines the proposed planning process for Members' views and consideration.

4.0 POLICY AND CORPORATE IMPLICATIONS

4.1 The report allows the Committee to develop independent assurance about the quality of the Council's internal control framework.

5.0 FINANCIAL AND OTHER RESOURCE IMPLICATIONS

5.1 There are no financial or other resource implications arising directly from this report. The Audit Plan will be based upon the number of days commissioned from the consortium each year, as previously approved by the Committee.

6.0 LEGAL IMPLICATIONS/POWERS

6.1 There are no legal implications arising directly from this report

7.0 COMMUNITY SAFETY

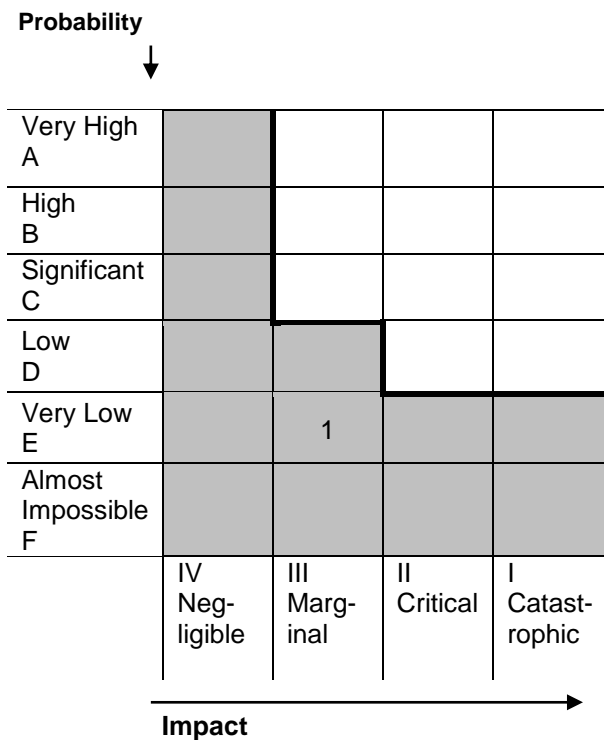
7.1 There are no community safety implications arising directly from this report.

8.0 EQUALITIES

8.1 There are no equalities implications arising directly from this report.

9.0 RISKS

9.1 If the Audit Plan does not cover the key risks to the Council the assurance that it can provide about the Council’s control framework would be compromised.



Risk No.	Description
1	Failure to include key risks in the 2015/16 Audit Plan

11.0 CLIMATE CHANGE

11.1 There are no climate change implications arising directly from this report.

12.0 CONSULTATION

12.1 N/A

13.0 WARDS AFFECTED

13.1 All wards are indirectly affected by the report.

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Date: 3/11/2014

Appendices : 1

Background Papers: N/A

Reference : N/A