

Audit and Standards Committee
Self-assessment against the CIPFA Position Statement 2022
 Notes to support scoring assigned on assessment form

Date: 20th February 2025

Completed by: Cllr Jim Adcock, Cllr Ian Atherton, Cllr Siggy Atherton, Cllr Mike Brown, Cllr Alison Freer, Cllr James Mason, Cllr Richard Sharp

Supported by: Rachel Ashley-Caunt, Chief Internal Auditor

In attendance: Dawn Garton, Director of Corporate Services

Self-assessment question	Comments on basis for scoring	Action ref
1	Standards matters are handled by the sub-committee.	
2	The Committee reports to Full Council and provides an annual report.	
3	No decision-making powers exercised.	
4	No issues noted. New Terms of Reference awaiting Council approval.	
5	No issues noted.	
6	Agreed that issues have been effectively escalated – with examples of concerns raised regarding key issues.	
7	As above – through the Annual Report to Council.	
8	As above – Annual Report to Council.	
9	Agreement that the core areas are suitably covered.	
10	No issues noted.	
11	Agreed that there has been no activity beyond the Committee's remit.	
12	No meeting has taken place privately with the auditors.	1
13	There are currently no independent members on the Committee. Not currently mandatory and agreed not to proceed at this time to recruit. On the basis that this has been given consideration, Members agreed to score as 5.	



Self-assessment question	Comments on basis for scoring	Action ref
14	Committee membership includes a range of skillsets and good feedback given on training received.	
15	No issues raised and training found to have helped cover relevant skillsets.	
16	Positive feedback given on training received by the committee.	
17	No issues raised.	
18	Agreed that support is effective.	
19	Relationships with auditors and Chief Finance Officer are considered to be strong and effective.	
20	No feedback received to indicate any concerns – to explore seeking feedback as part of next annual report.	
21	Agreement that meetings are well chaired and focus on key items.	
22	Considered to be a good level of engagement and questions from members of the Committee.	
23	Agreement that meetings are apolitical.	
24	Good engagement and management attendance at meetings.	
25	Agreement that the Committee has been effective in this regard.	
26	Implementation of audit recommendations is subject to committee review and has been raised with leadership team.	
27	The assessment taking place today addresses this requirement in relation to delivering key roles.	
28	Action plan to be agreed based on the assessment completed today and then subject to monitoring by the Committee – see below.	
29	All Committee members invited. The notes will be circulated to all Audit and Standards Committee Members for agreement, following the meeting.	



Action Plan

Action ref	Description	Timeframe
1	Private meeting with auditors To schedule a private meeting with the internal and external auditors on an annual basis.	June 2025